



H-A

Section #

PATENT APPLICATION  
Attorney's Do. No. 6909-5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: Robert M. Caruso and Konstantin J. Balashov

Serial No. 09/772,541

Examiner: Not Yet Assigned

Filed: January 29, 2001

Group Art Unit: 2131

For: RICH MEDIA FILE FORMAT AND DELIVERY METHODS

TRANSMITTAL LETTER

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Enclosed for filing in the above-referenced application are the following:

- ☒ Copy of Notice to File Missing Parts
- ☒ Filing Fee
- ☒ Assignment and Cover Letter
- ☒ Declaration
- ☒ Power of Attorney
- ☒ Surcharge for Late Filing of Fee or Oath or Declaration
  - ☐ \$65 - Small Entity
  - ☒ \$130 - Large Entity
- ☒ A check in the amount of \$1,780 to cover filing fee and late fee, a check in the amount of \$40 is also enclosed to cover the assignment recordal fee.
- ☒ Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A duplicate copy of this sheet is enclosed.



20575

PATENT TRADEMARK OFFICE

Respectfully submitted,

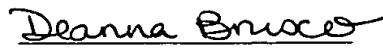
MARGER JOHNSON & McCOLLOM, P.C.

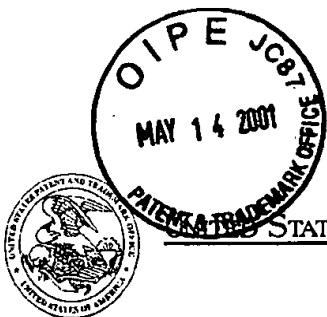
By   
Ariel Rogson, Reg. No. 43,054

MARGER JOHNSON & McCOLLOM, P.C  
1030 S.W. Morrison Street  
Portland, Oregon 97205  
Telephone: (503) 222-3613

I hereby certify that this correspondence is being deposited on May 9, 2001 with the United States Postal Service as First Class mail in an envelope addressed to Assistant Commissioner of Patents, Washington, DC 20231.

Dated: May 9, 2001

  
Deanna Brusco



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/772,541	01/29/2001	Robert M. Caruso	6909-5

20575  
MARGER JOHNSON & MCCOLLOM PC  
1030 SW MORRISON STREET  
PORTLAND, OR 97205

CONFIRMATION NO. 9250

FORMALITIES LETTER



\*OC000000005846755\*

Date Mailed: 03/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$940.
  - \$540 for 30 total claims over 20.
  - \$400 for 5 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1780.

*A copy of this notice MUST be returned with the reply.*

*Robert M. Caruso*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/16/2001 16:00:00 09772541  
01 FC:105 130.00 00  
02 FC:101 710.00 00  
03 FC:102 400.00 00  
04 FC:103 540.00 00